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## Stereoselective Addition of Singlet Oxygen to 7-Isopropylidenenorbornene Derivatives. Possibility of $\pi$-Orbital Distortion in the Homoconjugated System ${ }^{1}$

Sir:
Because of its relationship to 7 -norbornenyl cation, ${ }^{2}$ anion, ${ }^{3}$ radical, ${ }^{4}$ and 7 -norbornenylidene, ${ }^{5} 7$-alkylidenenorbornene has received considerable attention. Heilbronner and Martin reported the photoelectron spectrum of 7 -isopropylidenenorbornene and estimated the value of orbital splitting due to interaction between the exocyclic double bond and the $\mathrm{C}_{2}-\mathrm{C}_{3}$ double bond. ${ }^{6}$ Recently Hoffmann and Kurz observed polarization of the exocyclic double bond by measurement of ${ }^{13} \mathrm{C}$ NMR spectrum of 7-methylenenorbornene ${ }^{7}$ and established that homoconjugation induces the polarization. It is also anticipated that homoconjugation will induce a distortion of the $\pi$ orbital of the exocyclic double bond, so that the two sides of the exocyclic double bond will have unequal electron densities. We considered that this distortion could be revealed by chemical reactions, if the following conditions could be satisfied: (1) the chemical reaction should be sufficiently exothermic so that, according to the Hammond postulate, ${ }^{8}$ the transition-state structure would resemble that of the reactant; (2) the reagent should attack only the exocyclic double bond, but not the double bond in norbornene. For these reasons, singlet oxygen ${ }^{9}$ was chosen as a reagent, and the reactions with 7 -isopropylidenenorbornene (1), ${ }^{10}$ the benzo derivative (2), ${ }^{11}$ and the dimethoxycarbonyl derivative (3) ${ }^{12}$ were investigated.
When a solution of $\mathbf{1 , 2}$, or $\mathbf{3}$ in acetonitrile was photooxidized by irradiation with a $500-\mathrm{W}$ tungsten-Brom lamp in the
Scheme I







Table I. Yields and Properties of the Products

| starting compd | rxn time min | product (\% yield; mp, ${ }^{\circ} \mathrm{C}$ ) |  | product, chem shift <br> ( $\delta$ ) of $\mathrm{H}_{5 \text {-exo }}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | anti | syn | anti | syn |
| 1 | 15 | $4\left(76{ }^{\text {a }}\right.$ oil $)$ | $5\left(16 ; a{ }^{5} 52\right.$ | $\begin{gathered} 4,2.06 \\ (\mathrm{~m})^{c} \end{gathered}$ | $\begin{gathered} 5,1.77 \\ (\mathrm{~m})^{c} \end{gathered}$ |
| 2 | 20 | $\begin{gathered} 6\left(59 ;{ }^{a} 129-\right. \\ 130) \end{gathered}$ | $\begin{gathered} 7(21 ; a 81- \\ 83) \end{gathered}$ | $\begin{gathered} 6,2.31 \\ (\mathrm{~m})^{d} \end{gathered}$ | $\begin{array}{r} 7,1.99 \\ (\mathrm{~m})^{d} \end{array}$ |
| 3 | 120 | $\begin{gathered} 8\left(49 ; ;^{b} 74\right. \\ 76) \\ \hline \end{gathered}$ | $9\left(41 ;{ }^{\text {b }}\right.$ oil $)$ | $\begin{array}{r} 8,2.22 \\ (\mathrm{~m})^{c} \end{array}$ | 9, 1.90 <br> $(\mathrm{m})^{c}$ |

${ }^{a}$ Determined by NMR, ${ }^{b}$ Isolated yield. ${ }^{c}$ Measured in $\mathrm{CCl}_{4}$. ${ }^{d}$ Measured in $\mathrm{CDCl}_{3}$.
presence of methylene blue as a sensitizer, followed by reduction with dimethyl sulfide ${ }^{13}$ in methanol, a pair of anti ( 4 , 6 , and 8 ) and $\operatorname{syn}(5,7$, and 9$)$ alcohols was obtained (Scheme I). The yields and properties of the products are shown in Table I, along with the reaction conditions. The stereochemistries of $\mathbf{4}, \mathbf{5}, \mathbf{6}$, and $\mathbf{7}$ were assigned by use of the NMR shift reagent, $\mathrm{Eu}(\mathrm{fod})_{3}$. In addition, as shown in Table I, the chemical shift of the $\mathrm{H}_{5 \text {-exo }}$ protons in all of the syn alcohols appear upfield with respect to the $\mathrm{H}_{5 \text {-exo }}$ in the anti alcohols, ${ }^{14}$ because of anisotropic effect of the isopropenyl group. It is of interest to note that the product ratio of the anti to syn alcohols increases in the sequence of 3,2 , and 1 . Steric effects of the substituents attached to the $\mathrm{C}_{2}$ and $\mathrm{C}_{3}$ carbon, or of the $\mathrm{H}_{5 \text {-exo }}$ protons, do not provide a rationalization for the observed stereoselectivity. A priori, this observation may be explicable by one or more of following factors. (1) The exocyclic double bond bends toward the $C_{2}-C_{3}$ double bond with variation of the angle $\angle C_{7} C_{1} C_{2}$, to increase through-space interaction. (2) The attacking molecular oxygen would experience antibonding interaction with the $\mathrm{C}_{2}-\mathrm{C}_{3}$ double bond on the syn side. ${ }^{15}$ (3) The $\pi$ orbital of the exocyclic double bond distorts to the anti side by mixing in a $\sigma$ orbital at the 7 position owing to the perturbation caused by the $\mathrm{C}_{2}-\mathrm{C}_{3}$ double bond. Concomitantly the geometry of the exocyclic double bond may change owing to hybrid deviation, $\mathrm{sp}^{2} \rightarrow \mathrm{sp}^{2+\alpha} .{ }^{16}$ We could conclude that factor 1 is not important, because Heilbronner found a small value of $I P_{v, 2}-I P_{v, 1}$ $(0.5 \mathrm{eV})$ by measurement of the photoelectron spectrum for 1. ${ }^{6}$ To differentiate between the other two factors, ${ }^{13} \mathrm{C}$ NMR spectra of $\mathbf{1 , 2}$, and 3 were measured (carbon chemical shifts, $\delta$, at the $\mathrm{C}_{7}$ and $\mathrm{C}_{8}: \mathbf{1}, 148.6,106.9 ; \mathbf{2}, 148.6,110.9 ; \mathbf{3}, 143.9$, 111.9). ${ }^{7,17-19}$ The larger the polarization ( $\Delta, \mathrm{C}_{7}-\mathrm{C}_{8}: 1,41.7$; $2,37.7 ; 3,32.0$ ), the more the product ratio, anti to syn, increases. The correlation with ${ }^{13} \mathrm{C}$ NMR spectra would seem to indicate that factor 3 is more important than factor 2 , although factor 2 is not excluded completely. It is possible that stereoselectivity arises from the oribtal distortion resulting from the mixing of the $\pi_{7}{ }^{*}$ and $\sigma_{7}$ orbitals. ${ }^{20}$ Thus, the HOMO represented by $\pi_{7}-a \pi_{2}-b \pi_{7}^{*}+c \sigma_{7}$ is distorted to the anti side, where mixing coefficients $b$ and $c$ will increase as the coefficient $a$ increases (Figure 1). Orbital interactions for the perepoxide-like transition state are depicted in A and B .


A


B

Similar stereoselectivity was also observed in the case of crossed longicyclic compounds ${ }^{21} 10$ and 11 . After the reduction of the oxidative products of 10 , alcohol $12,22,23$ in which the hydroxyl group is anti to the double bond, was produced in better yield (53\%) than syn alcohol $\mathbf{1 3}^{22.23}$ (33\%) (Scheme II).


Figure 1. Mixing pattern of $\pi_{2}, \pi_{7}{ }^{*}$, and $\sigma_{7}$ orbitals with the $\pi_{7}$ orbital.


Scheme II


These products are accompanied by the fragmentation product, $\alpha$-naphthylisopropenyl ketone (14) ${ }^{22}$ (4\%). The photooxidation of 11 afforded only anti hydroperoxide $\mathbf{1 5}{ }^{24}(80 \%)$ and dimethyl phthalate, which was also produced in high yield from 15 by heating in $\mathrm{CDCl}_{3}$. No syn hydroperoxide was detected in the NMR spectrum of the reaction mixture.

Thus, in both cases, 10 and 11 , the main product is characterized by attack of molecular oxygen from the anti side to the unsubstituted double bond. Since this bond can more strongly interact with the exocyclic double bond, these findings are in accord with the results which are observed for the homoconjugated compounds, 1, 2, and 3.

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(23) Configuration of the hydroxyl group of 12 or 13 was determined by use of $\mathrm{Eu}(\mathrm{fod})_{3}$.
(24) Under the reduction condition, hydroperoxide 15 gave an unidentifled product $\mathrm{C}_{14} \mathrm{H}_{16} \mathrm{O}_{5}$ instead of the expected alcohol. Stereochemistry of 15 was determined as shown.


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## Electronic Control of Stereoselectivity. 1. Singlet Oxygen and Related Electrophilic Additions to Aryl-Substituted 7-Isopropylidenebenzonorbornenes ${ }^{\ddagger}$

Sir:
In recent years, much theoretical and experimental attention has been directed to defining more precisely the mechanism by which singlet ( ${ }^{1} \Delta \mathrm{~g}$ ) oxygen effects the allylic hydroperoxidation of olefins. At the center of the controversy lies the question whether these oxygenations proceed by a concerted ene pathway or involve transient perepoxide intermediates. Although computational methods have demonstrated the workability of frontier electron theory and the preferred energetics of perepoxide intervention, ${ }^{1}$ clear-cut experimental substantiation of mechanism is still lacking and, in fact, the

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[^0]:    $\ddagger$ Dedicated to Professor M. S. Newman on the occasion of his 70th birthday.

